FOUR YEAR UNDER GRADUATE PROGRAMME IN COMMERCE

Course Code : SEC-3A (**Third Semester**)

Title of the Course : Trading and Investment for Beginners

Nature of Course : Skill Enhancement Course

End Semester : 60 Marks
In Semester : 40 Marks

Credit Course : 03

Learning Objectives

The course aims to familiarize the students with stock market and investing in it.

They can learn the investment and trading mechanism in Indian stock exchanges.

Learning outcomes

After completion of the course, learners will be able to:

- > Evaluate the investment environment as well as risk & return framework.
- ➤ Conduct fundamental analysis to identify under-priced/overpriced securities.
- ➤ Conduct technical analysis to make buy and hold decisions in the stock market.
- > Describe the Functioning of Indian Stock Market.

UNITS	CONTENTS	L	T	P
	Unit 1: Basics of Investing	9	1	
	1.1Basics of Investment			
	1.2 Concept of risk and return			
1	1.3 Types of investors			

(15 Marks)	1.4 Avenues of investments- Shares & Debentures, Mutual			
	funds, Post office Schemes, Gold,			
	1.5 Index funds, Exchange Traded Fund (ETF), Real Estate,			
	Crypto Currencies etc.			
	Unit 2: Indian Capital Market	9	2	
	2.1 Structure of Indian capital Markt			
	2.2 Primary Markets.			
2	2.3 Secondary Markets.			
(15 Marks)	2.4 Instruments of Primary and Secondary Market			
	2.5 Market Regulator- SEBI.			
	Unit 3: Share Market & Stock Exchanges of India			
		9	3	
	3.1Stock exchanges in India- BSE, NSE and MCX			
3	3.2 Security market indices- Nifty, Sensex and sectoral indices			
(15 Marks)	3.3 Market Analysis- Basics of Fundamental and Technical			
	Analysis.			
	3.4 Credit Rating Agencies and its role.			
	Unit 4: Trading and Investing.	9	3	
	4.1 Role of Demat Account in Trading and Investing			
	4.2 Application (mobile) based trading an investing			
	4.3 Sources of market information for trading and investing			
4	4.4 Derivative market concept, Derivative Instrumnts.			
(15 Marks)				
	TOTAL	36	9	
	<u> </u>	1	1	

MODES OF IN-SEMESTER ASSESMENT: (40 Marks)

- **a.** Two Sessional Examination 20 Marks (10x2)
- b. Seminar Presentation on any of the relevant topics- 10 marks

c. Assignment and Attendance 10marks

Suggested Readings:

Jaiswal, R; Doley, S. J. & Nayak, P. (2024). *Introduction to Stock market*, Kalyani Publication

Singh J. K. & Singh A. K. (2017). *Investing in Stock Markets*. Delhi: A. K. Publications, Delhi.

Tripath V. & Pawar N. (2022). Investing in Stock Markets. Taxmann Publications.

Rustogi, R.P. (2018). Fundamentals of Investment. Sultan Chand & Sons, New Delhi.

Prepared by:

1. Rajesh Jaiswal, Associate Professor & HoD, Dept of Commerce, Golaghat Commerce College.